

**FINAL REPORTS ISSUED** **16 July 2010 to 05 September 2010**

ref	Audit	Risk	Allocated Days	Final Report Issued	Days Taken	Recommendations Made					Audit Opinion	latest implementation date	follow up due
						No.	Risk Level						
						total	4	3	2	1			
op01	Environmental Health	3	10	09/08/10	14	2	0	0	2	0	substantial	31/03/11	30/04/11
op02	Building Control Service and Fees	3	10	19/08/10	13	1	0	0	1	0	substantial	19/08/10	19/09/10
op03	Concessionary Fares	2	15	26/08/10	12	2	0	2	0	0	adequate	28/02/12	28/03/12
						5	0	2	3	0			

**RISK LEVEL 3 & 4 RECOMMENDATIONS****16 July 2010 to 05 September 2010**

ref	Audit	Ref	Recommendation	Risk	Agreed / Not agreed	Officer Responsible	Officer Comments	Implementation date
op03	Concessionary Fares	3.1	Reconciliations should be carried out on stock and revenue from sale of goods and that Finance Service be consulted to advise and to provide the necessary training in setting up the process	3	Agreed	Denise Thoday		28 Feb 2011
op03	Concessionary Fares	3.2	It is re-iterated that reconciliations on the administration of railcards by the Stansted Parish Council be carried out periodically and that a copy of the reconciliation statement should be forwarded to the Concessionary Fares Officer at London Road, Saffron Walden	3	Agreed	Denise Thoday		28 Feb 2011

**PERFORMANCE AGAINST the 2010/11 AUDIT PLAN****16 July 2010 to 05 September 2010**

ref	Audit	Risk	Qtr	Allocated Days	Started	Draft Report issued	Final Report issued	Days Taken	stage	comment
cor01	Corporate Governance	2	1	10	12/04/10	22/06/10		6	AGS draft	
cor02	ICT	2	1	10	16/06/10	02/09/10		12	draft	additional audit testing required
cor03	Performance Management	3	1	10	19/04/10	26/07/10		20	draft	additional audit testing required
cor04	Corporate Income including Cash Income	4	2	10	16/08/10			1	planning	
cor05	Contract & Partnership Management and VFM	4	2	20						
cor06	Asset Management	4	3	10						
cor07	Partnerships	3	3	10						
cor08	Corporate Budgets	3	3	10	23/08/10			1	planning	
cor09	Corporate Reconciliations	3	3	10	24/08/10			1	planning	
cor10	Information Management	4	4	10						
cor11	Procurement	4	4	10						
cor12	Budget Monitoring & Reporting	3	4	10						
cor13	Grants & External Funding	2	4	10						

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op01	Environmental Health	3	1	10	18/05/10	15/07/10	09/08/10	14	final	new audit area
op02	Building Control Service and Fees	3	1	10	19/05/10	26/07/10	19/08/10	13	final	new audit area
op03	Concessionary Fares	2	1	15	18/05/10	21/07/10	26/08/10	12	final	
op04	Creditors	4	2	10	02/08/10			2	testing	
op05	Tax	4	2	10	18/06/10	20/08/10		9	draft	
op06	Members' Allowances & Expenses	3	2	10	16/06/10	01/09/10		12	draft	
op07	Transport & Plant Utilisation & Maintenance	2	2	10	18/06/10			8	testing	
op08	Community Health and Fitness	1	2	10	21/06/10			1	planning	
op09	NNDR Business Rates	4	3	12	26/08/10			1	planning	
op10	Council Tax	4	3	12	26/08/10			1	planning	
op11	Housing Benefits	4	3	15						
op12	Sundry Debtors	4	3	12	20/08/10			1	planning	
op13	Housing Rents	4	3	15						
op14	Community Safety - including anti social behaviour	2	4	10						
op15	Officers' Allowances & Expenses	4	4	10						
op16	Treasury Management	4	4	10						
op17	Housing Responsive Maintenance	3	4	15						
op18	Renovation Grants	1	4	10						